

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION**

Alternative Dispute Resolution Summary

Provider must file completed form, in duplicate, with the U.S. District Clerk upon completion of ADR.

1. Civil Action number: 3:06-CV-0747-D
2. Style of case: SOUTHWEST AIRLINES CO. PROFIT SHARING/401(K) COMMITTEE ET AL. V. UBS GLOBAL ASSET MANAGEMENT (AMERICAS).
3. Nature of suit: Unknown
4. Method of ADR used: ☒ **Mediation** ☐ Mini-Trial ☐ Summary Jury Trial
5. Date ADR session was held: Scheduled for 4/16/2007
6. Outcome of ADR (*Select one*):

☒ Parties did not use my services.*

☐ Settled, in part, as result of ADR

*Mediation scheduled for 4/16/07. Advised of settlement on 4/13/07.

☐ Settled as a result of ADR.

☐ Parties were unable to reach

settlement.

☐ Continue to work with parties to reach settlement (*Note: provider must file supplemental ADR Summary Form at conclusion of his/her services*)

7. What was your TOTAL fee: \$N/A
8. Duration of ADR: N/A (i.e., one day, two hours)
9. Please list persons in attendance (including party association, i.e., defendant, plaintiff):
(Provider)

Courtenay L. Bass - Mediator

Please provide the names, addresses, and telephone number of counsel on the reverse of this form.

Provider information:

Courtenay L. Bass
Signature

April 13, 2007

Date

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